# Tables for UN Compilation on Kazakhstan

# I. Scope of international obligations<sup>1</sup>

## A. International human rights treaties<sup>2</sup>

	Status during previous cycle	Action after review	Not ratified/not accepted
Ratification, accession or succession	ICERD (1998)	CRPD (2015)	ICCPR-OP 2
	ICESCR (2006)		ICRMW/*
	ICCPR (2006)		
	CEDAW (1998)		
	CAT (1998)		
	OP-CAT (2008)		
	CRC (1994)		
	OP-CRC-AC (2003)		
	OP-CRC-SC (2001)		
	CRPD (signature, 2008)		
	ICPPED (2009)		
Complaints procedures,	ICERD, art. 14 (2008)		OP-ICESCR (signature,
inquiries and urgent action <sup>3</sup>	OP-ICESCR (signature,		2010)
urgeni action	2010)		ICCPR, art. 41
	ICCPR-OP 1 (2009)		OP-CRC-IC
	OP-CEDAW, art. 8 (2001)		ICRMW
	CAT, arts. 20 (1998), 21		OP-CRPD (signature, 2008)
	and 22 (2008)  OB CRPD (signature, 2008)		ICPPED, arts. 31 and 32
	OP-CRPD (signature, 2008)		
Posarvations and / or declarations	Status during provious evelo	Action after review	Current Status
Reservations and / or declarations	status auring previous cycle	Action after review	Current Status
	ICCPR-OP 1 (Declaration, art. 1, 2009)		ICCPR-OP 1 (Declaration, art. 1)
	OP-CAT (Declaration, art. 24.1, 2010)		OP-CAT (Declaration, art. 24.1)
	OP-CRC-AC (Declaration, art.3.2, minimum age of recruitment at 19 years 2003)		OP-CRC-AC (Declaration, art.3.2, minimum age of recruitment at 19 years)

#### B. Other main relevant international instruments

	Status during previous cycle	Action after review	Not ratified
Ratification, accession or succession	Convention on the Prevention and Punishment of the Crime of Genocide	Convention against Discrimination in Education (2016)	Rome Statute of the International Criminal Court
			Conventions on stateless persons <sup>4</sup>
			ILO Conventions Nos. 169 and 189 <sup>5</sup>
	Geneva Conventions of 12 August 1949 and Additional Protocols thereto <sup>6</sup>		
	Conventions on refugees <sup>7</sup>		
	Palermo Protocol <sup>8</sup>		
	ILO fundamental Conventions <sup>9</sup>		

## II. Cooperation with human rights mechanisms and bodies

### A. Cooperation with treaty bodies<sup>10</sup>

#### **Reporting status**

Treaty body	Concluding observations included in previous review	Latest report submitted since previous review	Latest concluding observations	Reporting status
CERD	March 2010/February 2014			Eighth to tenth report pending consideration
CESCR	May 2010	2017	March 2019	Third report due in 2024
HR Committee	July 2011	2014	July 2016	Third report due in 2020.
CEDAW	February 2014	2018		Fifth report pending consideration in November 2019
CAT		2013/2018	November 2014	Fourth report pending consideration
CRC		2011	October 2015	Fifth and sixth reports due in 2021
CRPD		2017		Initial report pending consideration

#### Responses to specific follow-up requests from concluding observations

Treaty body	Due in	Subject matter	Submitted
CERD	2015	Special measures to promote equal opportunities; migrant workers; and refugees and asylum seekers. <sup>11</sup>	2015. <sup>12</sup> Dialogue on-going. <sup>13</sup>
CESCR	2021	Adoption of anti- discrimination legislation and measures to combat discrimination against migrants; increase of social public expenditure; and trade union rights. <sup>14</sup>	
HR Committee	2017	Accountability for human rights violations in connection with the Zhanaozen events; torture and ill-treatment; and freedom of association and participation in public life. 15	2016 <sup>16</sup> and 2017 <sup>17</sup> . Further information requested. <sup>18</sup>
CEDAW	2016	Violence against women; and employment. <sup>19</sup>	2016. <sup>20</sup> Further information requested. <sup>21</sup>
CAT	2015	Effective investigation of allegations of torture; transfer of detention authority to the Ministry of Justice; the Human Rights Commissioner (Ombudsman) and National Preventive Mechanism; and the administration of justice. <sup>22</sup>	2015 <sup>23</sup> and 2016 <sup>24</sup> .
CED	2017	Offence of enforced disappearance communication by persons deprived of liberty; and registers of persons deprived of liberty. <sup>25</sup>	2017 <sup>26</sup>

#### Views

Treaty body	Number of views	Status
HR Committee	12 <sup>27</sup>	Information requested. <sup>28</sup>
CEDAW	1 <sup>29</sup>	Information requested. <sup>30</sup>
CAT	$6^{31}$	Information requested. <sup>32</sup>

### Country visits and/or inquiries by Treaty bodies

Treaty body	Date	Subject matter
SPT	September 2016. <sup>33</sup>	Recommendations concerning the prevention of torture and ill-treatment of persons deprived of their liberty. <sup>34</sup>

### B. Cooperation with special procedures<sup>35</sup>

	Status during previous cycle	Current status
Standing invitations	Yes	Yes
Visits undertaken	Adequate housing (2010) Education (2011) Slavery (2012 and 2014) Freedom of religion (2014)	Freedom of peaceful assembly and association (2015)  Hazardous substances and waste (2015)  Persons with disabilities (2017)  Terrorism (2019)
Visits agreed to in principle		
Visits requested	Human rights and transnational corporations and other business enterprises (2014)	Extreme poverty (2017) Migrants (2019)
Responses to letters of allegation and urgent appeal	During the period under review 19 communications were sent. The Government replied to 18 communications	
Follow-up reports and missions		

### C. Status of national human rights institutions $^{36}$

National human rights institution	Status during previous cycle	Status during present cycle <sup>37</sup>
The Commissioner for Human Rights	В	B (2012)

<sup>1</sup> Unless indicated otherwise, the status of ratification of instruments listed in the table may be found on the official website of the United Nations Treaty Collection database, Office of Legal Affairs of the United Nations Secretariat, http://treaties.un.org/. Please also refer to the United Nations compilation on Kazakhstan from the previous cycle (A/HRC/WG.6/20/KAZ/2).

<sup>2</sup> The following abbreviations have been used in the universal periodic review document:

ICERD International Convention on the Elimination of All Forms of

Racial Discrimination;

ICESCR International Covenant on Economic, Social and Cultural

Rights;

OP-ICESCR Optional Protocol to ICESCR;

ICCPR International Covenant on Civil and Political Rights;

ICCPR-OP 1 Optional Protocol to ICCPR;

ICCPR-OP 2 Second Optional Protocol to ICCPR, aiming at the abolition of

the death penalty;

CEDAW Convention on the Elimination of All Forms of Discrimination

against Women;

OP-CEDAW Optional Protocol to CEDAW;

CAT Convention against Torture and Other Cruel, Inhuman or

Degrading Treatment or Punishment;

OP-CAT Optional Protocol to CAT;

CRC Convention on the Rights of the Child;

OP-CRC-AC Optional Protocol to CRC on the involvement of children in

armed conflict;

OP-CRC-SC Optional Protocol to CRC on the sale of children, child

prostitution and child pornography;

OP-CRC-IC Optional Protocol to CRC on a communications procedure;
ICRMW International Convention on the Protection of the Rights of All

Migrant Workers and Members of Their Families;

CRPD Convention on the Rights of Persons with Disabilities;

OP-CRPD Optional Protocol to CRPD;

ICPPED International Convention for the Protection of All Persons

from Enforced Disappearance.

- Individual complaints: ICCPR-OP 1, art. 1; OP-CEDAW, art. 1; OP-CRPD, art. 1; OP-ICESCR, art. 1; OP-CRC-IC, art. 5; ICERD, art. 14; CAT, art. 22; ICRMW, art. 77; and ICPPED, art. 31. Inquiry procedure: OP-CEDAW, art. 8; CAT, art. 20; ICPPED, art. 33; OP-CRPD, art. 6; OP-ICESCR, art. 11; and OP-CRC-IC, art. 13. Inter-State complaints: ICCPR, art. 41; ICERD, art. 11; ICRMW, art. 76; ICPPED, art. 32; CAT, art. 21; OP-ICESCR, art. 10; and OP-CRC-IC, art. 12. Urgent action: ICPPED, art. 30.
- <sup>4</sup> 1954 Convention relating to the Status of Stateless Persons, and 1961 Convention on the Reduction of Statelessness.
- International Labour Organization Convention No. 169 concerning Indigenous and Tribal Peoples in Independent Countries and Convention No. 189 concerning Decent Work for Domestic Workers.
- <sup>6</sup> Geneva Convention for the Amelioration of the Condition of the Wounded and Sick in Armed Forces in the Field (First Convention); Geneva Convention for the Amelioration of the Condition of Wounded, Sick and Shipwrecked Members of Armed Forces at Sea (Second Convention); Geneva Convention relative to the Treatment of Prisoners of War (Third Convention); Geneva Convention relative to the Protection of Civilian Persons in Time of War (Fourth Convention); Protocol Additional to the Geneva Conventions of 12 August 1949, and relating to the Protection of Victims of International Armed Conflicts (Protocol I); Protocol Additional to the Geneva Conventions of 12 August 1949, and relating to the Protection of Victims of Non-International Armed Conflicts (Protocol II); Protocol Additional to the Geneva Conventions of 12 August 1949, and relating to the Adoption of an Additional Distinctive Emblem (Protocol III). For the official status of ratifications, see Federal Department of Foreign Affairs of Switzerland, at

- https://www.dfae.admin.ch/eda/fr/dfae/politique-exterieure/droit-international-public/traites-internationaux/depositaire/protection-des-victimes-de-la-guerre.html
- <sup>7</sup> 1951 Convention relating to the Status of Refugees and its 1967 Protocol.
- Protocol to Prevent, Suppress and Punish Trafficking in Persons, Especially Women and Children, supplementing the United Nations Convention against Transnational Organized Crime.
- International Labour Organization Convention No. 29 concerning Forced or Compulsory Labour; Convention No. 105 concerning the Abolition of Forced Labour; Convention No. 87 concerning Freedom of Association and Protection of the Right to Organise; Convention No. 98 concerning the Application of the Principles of the Right to Organise and to Bargain Collectively; Convention No. 100 concerning Equal Remuneration for Men and Women Workers for Work of Equal Value; Convention No. 111 concerning Discrimination in Respect of Employment and Occupation; Convention No. 138 concerning Minimum Age for Admission to Employment; Convention No. 182 concerning the Prohibition and Immediate Action for the Elimination of the Worst Forms of Child Labour.
- $^{10}$  The following abbreviations have been used in the present document:

CERD Committee on the Elimination of Racial Discrimination;
CESCR Committee on Economic, Social and Cultural Rights;

HR Committee Human Rights Committee;

CEDAW Committee on the Elimination of Discrimination against

Women;

CAT Committee against Torture;

CRC Committee on the Rights of the Child;

CMW Committee on the Protection of the Rights of All Migrant

Workers and Members of Their Families;

CRPD Committee on the Rights of Persons with Disabilities;

CED Committee on Enforced Disappearances; SPT Subcommittee on Prevention of Torture.

- <sup>11</sup> CERD/C/KAZ/CO/6-7, para. 27.
- 12 CERD/C/KAZ/CO/6-7/Add.1.
- Letter from CERD to the Permanent Mission of Kazakhstan to the United Nations Office and other international organizations in Geneva, dated 26 January 2016, available from https://tbinternet.ohchr.org/Treaties/CERD/Shared%20Documents/KAZ/INT\_CERD\_FUL\_KAZ\_22 868\_E.pdf (accessed on 27 June 2019).
- <sup>14</sup> E/C.12/KAZ/CO/2, para. 56.
- 15 CCPR/C/KAZ/CO/2, para. 56.
- <sup>16</sup> CCPR/C/KAZ/CO/2/Add.1.
- 17 CCPR/C/KAZ/CO/2/Add.2.
- Letter from HR Committee to the Permanent Mission of Kazakhstan to the United Nations Office and other international organizations in Geneva, dated 1 August 2018, available from https://tbinternet.ohchr.org/Treaties/CCPR/Shared%20Documents/KAZ/INT\_CCPR\_FUD\_KAZ\_329 53\_E.pdf (accessed on 27 June 2019).
- <sup>19</sup> CEDAW/C/KAZ/CO/3-4, para. 41.
- $^{20}$  CEDAW/C/KAZ/CO/3-4/Add.1.
- Letter from CEDAW to the Permanent Mission of Kazakhstan to the United Nations Office and other international organizations in Geneva, dated 21 September 2017, available from https://tbinternet.ohchr.org/Treaties/CEDAW/Shared%20Documents/KAZ/INT\_CEDAW\_FUL\_KAZ\_28954\_E.pdf (accessed on 27 June 2019).
- <sup>22</sup> CAT/C/KAZ/CO/3, para. 30.
- <sup>23</sup> CAT/C/KAZ/CO/3/Add.1. See also Letter from CAT to the Permanent Mission of Kazakhstan to the United Nations Office and other international organizations in Geneva, dated 29 August 2016, available from
  - https://tbinternet.ohchr.org/Treaties/CAT/Shared%20Documents/KAZ/INT\_CAT\_FUL\_KAZ\_25015 \_E.pdf (accessed on 25 January 2019).
- <sup>24</sup> CAT/C/KAZ/CO/3/Add.2.
- <sup>25</sup> CED/C/KAZ/CO/1, para. 36.
- <sup>26</sup> CED/C/KAZ/CO/1/Add.1.

- <sup>27</sup> CCPR/C/111/D/2009/2010; CCPR/C/112/D/2137/2012; CCPR/C/115/D/2304/2013; CCPR/C/116/D/2129/2012; CCPR/C/119/D/2125/2011; CCPR/C/119/D/2146/2012; CCPR/C/120/D/2158/2012; CCPR/C/121/D/2645/2015; CCPR/C/124/D/2441/2014; CCPR/C/125/D/2308/2013; and CCPR/C/125/D/2309/2013
- CCPR/C/111/D/2009/2010, para. 10; CCPR/C/112/D/2137/2012, para. 10;
   CCPR/C/115/D/2304/2013, para. 10; CCPR/C/116/D/2129/2012, para. 14;
   CCPR/C/119/D/2125/2011, para. 12; CCPR/C/119/D/2125/2011, para. 12;
   CCPR/C/119/D/2146/2012, para. 11; CCPR/C/120/D/2158/2012, para. 13;
   CCPR/C/121/D/2645/2015, para. 12; CCPR/C/124/D/2441/2014, para. 16;
   CCPR/C/125/D/2308/2013, para. 12; and CCPR/C/125/D/2309/2013, para. 11.
- <sup>29</sup> CEDAW/C/61/D/45/2012.
- <sup>30</sup> CEDAW/C/61/D/45/2012, para. 12.
- 31 CAT/C/52/D/475/2011; CAT/C/52/D/497/2012; CAT/C/54/D/538/2013; CAT/C/55/D/554/2013; CAT/C/61/D/661/2015; and CAT/C/60/D/651/2015.
- <sup>32</sup> CAT/C/52/D/475/2011, para. 13; CAT/C/52/D/497/2012, para. 10; CAT/C/54/D/538/2013, para. 11; CAT/C/55/D/554/2013, para. 14; CAT/C/61/D/661/2015, para. 10; and CAT/C/60/D/651/2015, para. 9
- 33 CAT/OP/KAZ/1. See also CAT/OP/KAZ/1/Add1 and CAT.OP.KAZ.1.add2.
- 34 CAT/OP/KAZ/1, para. 4.
- 35 For the titles of special procedure mandate holders see: https://spcommreports.ohchr.org/about/abbreviations
- <sup>36</sup> According to article 5 of the rules of procedure of the Global Alliance of National Human Rights Institutions (GANHRI), the classifications for accreditation used by the Sub-Committee are: A: voting member (fully in compliance with each of the Paris Principles); B: non-voting member (not fully in compliance with each of the Paris Principles or insufficient information provided to make a determination); and C: no status (not in compliance with the Paris Principles).
- The list of national human rights institutions with accreditation status granted by the Global Alliance of National Human Rights Institutions (GANHRI), accessed at: https://nhri.ohchr.org/EN/Documents/Status%20Accreditation%20Chart%20%289%20May%202019%29.pdf

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